

PATENT APPLICATION TRANSMITTAL LETTER

Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170

To the Assistant Commissioner for Patents:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the Continuation-in-Part Patent Application of:

Inventors: Hubert Köster, David M. Lough, Dirk Reuter and Scott Higgins

Entitled: *Compositions and Methods for Immobilizing Nucleic Acid to Solid Supports*

Enclosed are:

- ☒ Return mailroom postcard
- ☒ 19 pages of written description
- ☒ 14 sheet(s) of drawings
- ☒ 2 page(s) of claims
- ☒ 1 page of abstract
- ☒ page of power of attorney (unexecuted)

CLAIMS AS FILED

	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE (37 CFR 1.16(a))			\$770	\$770
TOTAL CLAIMS (37 CFR 1.16(c))	-20=	*	x \$22	
INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3=		x \$80	
MULTIPLE DEPENDENT CLAIM PRESENT		(37 CFR 1.16(d))	\$260	
NUMBER EXTRA MUST BE ZERO OR LARGER			TOTAL	\$
If applicant has small entity status under 37 CFR 1.9 and 1.27 then divide total fee by 2, and enter amount here.			SMALL ENTITY	\$

2. ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1448 as described below. I have enclosed a duplicate copy of this sheet.

☐ Charge the amount of \$ as filing fee.

☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Certificate of Express Mail	
Express Mailing Label No. EM 528 940 333 US	
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date of <u>September 19, 1997</u> .	
<u>September 19, 1997</u>	<u>Beth E. Arnold</u>
Date of Deposit	Name:

Respectfully submitted,

Patent Group
Foley, Hoag & Eliot LLP

Beth E. Arnold

Beth E. Arnold
Attorney for Applicants
Registration No. 35,430

Compositions and Methods for Immobilizing Nucleic Acids to Solid Supports

5

Related Applications

10 This application is a continuation-in-part of U.S.S.N. 08/746,036 filed November 6, 1996, entitled "*Bead Linkers for Immobilizing Nucleic Acid to Solid Supports*", the teachings of which are incorporated herein by reference.

Background of the Invention

15

In the fields of molecular biology and biochemistry, as well as in the diagnosis of diseases, nucleic acid hybridization has become a powerful tool for the detection, isolation, and analysis of specific oligonucleotide sequences. Typically, such hybridization assays utilize an oligodeoxynucleotide probe that has been immobilized on a solid support; as for example in the reverse dot blot procedure (Saiki, R.K., Walsh, P.S., Levenson, C.H., and Erlich, H.A. (1989) *Proc. Natl. Acad. Sci. USA* 86, 6230). More recently, arrays of immobilized DNA probes attached to a solid surface have been developed for sequencing by hybridization (SBH) (Drmanac, R., Labat, I., Brukner, I., and Crkvenjakov, R. (1989) *Genomics*, 4, 114-128), (Strezoska, Z., Paunesku, T., Radosavljevic, D., Labat, I., Drmanac, R., and Crkvenjakov, R. (1991) *Proc. Natl. Acad. Sci. USA*, 88, 10089-10093). SBH uses an ordered array of immobilized oligodeoxynucleotides on a solid support. A sample of unknown DNA is applied to the array, and the hybridization pattern is observed and analyzed to produce many short bits of sequence information simultaneously. An enhanced version of SBH, termed positional SBH (PSBH), has been developed which uses duplex probes containing single-stranded 3'- or 5'- overhangs. (Broude, N.E., Sano, T., Smith, C.L., and Cantor, C.R. (1994)

20

25

30

Proc. Natl. Acad. Sci. USA, 91, 3072-3076). It is now possible to combine a PSBH capture approach with conventional Sanger sequencing to produce sequencing ladders detectable, for example by gel electrophoresis (Fu, D., Broude, N.E., Köster, H., Smith, C.L., and Cantor, C.R. (1995) *Proc. Natl. Acad. Sci. USA*, 92, 10162-10166).

5

For the arrays utilized in these schemes, there are a number of criteria which must be met for successful performance. For example, the immobilized DNA must be stable and not desorb during hybridization, washing, or analysis. In addition, the density of the immobilized oligodeoxynucleotide must be sufficient for the ensuing analyses. However, there must be minimal non-specific binding of DNA to the surface. In addition, the immobilization process should not interfere with the ability of immobilized probes to hybridize. For the majority of applications, it is best for only one point of the DNA to be immobilized, ideally a terminus.

15

In recent years, a number of methods for the covalent immobilization of DNA to solid supports have been developed which attempt to meet all the criteria listed above. For example, appropriately modified DNA has been covalently attached to flat surfaces functionalized with amino acids, (Running, J.A., and Urdea, M.S. (1990) *Biotechniques*, 8, 276-277), (Newton, C.R., et al., (1993) *Nucl. Acids. Res.*, 21, 1155-1162.), (Nikiforov, T.T., and Rogers, Y.H. (1995) *Anal. Biochem.*, 227, 201-209) carboxyl groups, (Zhang, Y., et al., (1991) *Nucl. Acids Res.*, 19, 3929-3933), epoxy groups (Lamture, J.B., et al., (1994) *Nucl. Acids Res.* 22, 2121-2125), (Eggers, M.D., et al., (1994) *BioTechniques*, 17, 516-524) or amino groups (Rasmussen, S.R., et al., (1991) *Anal. Biochem.*, 198, 138-142). Although many of these methods were quite successful for their respective applications, when used to link nucleic acids to two-dimensional (flat) supports, the density of the immobilized oligodeoxynucleotide is often insufficient for the ensuing analyses (Lamture, J.B., et al., (1994) *Nucl. Acids Res.* 22, 2121-2125, Eggers, M.D., et al., (1994) *BioTechniques*, 17, 516-524).

30

Summary of the Invention

5 In one aspect, the invention features novel compositions comprised of at least one bead conjugated to a solid support and further conjugated to at least one nucleic acid. The bead can be comprised of any of a variety of materials and may be swellable or nonswellable. Preferably the bead is made of a material selected from the group consisting of: silica gel, glass, magnet, Wang resin, metal, plastic, cellulose, Sephadex and Sepharose. In a preferred embodiment, the bead is of a size in the range of about 1 to
10 about 100 μ m in diameter. In another preferred embodiment, the solid support is selected from the group consisting of: a bead, capillary, plate, membrane, wafer, comb, pin, a wafer with pits, an array of pits or nanoliter wells.

15 In another aspect, the invention features preferred conjugation means for making the novel compositions. In a preferred embodiment, a covalent amide bond is formed between the bead and the insoluble support. In a particularly preferred embodiment, the covalent amide bond is formed by reacting a carboxyl-functionalized bead with an amino-functionalized solid support; or a carboxyl-functionalized support with an amino-functionalized bead.

20 In a further aspect, the invention features methods for isolating target nucleic acids from a sample or reaction mixture by a conjugation means described herein. In a particularly preferred method, the nucleic acids are directly analyzed by mass spectrometry.

25 In a final aspect, the invention features kits containing reagents for performing the conjugations and thereby immobilizing nucleic acids to an insoluble support via a bead linker.

30 As compared to "flat" surfaces, beads linked to a solid support provide an increased surface area for immobilization of nucleic acids. Furthermore, by selecting a bead with the desired functionality, a practitioner can select a functionalization chemistry for immobilizing nucleic acids, which is different from the chemistry of the solid support,

35 The above and further features and advantages of the instant invention will become clearer from the following Detailed Description and Claims.

Brief Description of the Drawings

Figure 1 is a schematic showing the covalent attachment of a bead to a solid support and DNA to the bead.

Figure 2 is a schematic showing the covalent attachment of Wang resin beads to a solid support as described in Example 1.

Figure 3 is a schematic representation of nucleic acid immobilization via covalent bifunctional trityl linkers as described in Example 2.

Figure 4 is a schematic representation of nucleic acid immobilization via hydrophobic trityl linkers as described in Example 3.

Figure 5 shows a MALDI-TOF mass spectrum of a supernatant of the matrix treated Dynabeads containing bound oligo (5' iminobiotin-TGCACCTGACTC, SEQ. ID. No. 1). An internal standard (CTGTGGTCGTGC, SEQ. ID. No. 2) was included in the matrix.

Figure 6 shows a MALDI-TOF mass spectrum of a supernatant of biotin treated Dynabeads containing bound oligo (5' iminobiotin-TGCACCTGACTC, SEQ. ID. No. 1). An internal standard (CTGTGGTCGTGC, SEQ. ID. No. 2) was included in the matrix.

Figure 7 schematically depicts conjugation of an unextended primer to a bead via reaction of a 2', 3'-diol on the primer with boronic acid functionalized beads.

Figure 8 schematically depicts a pin tool apparatus.

Figure 9 depicts various pin conformations. Figures 9A shows a solid pin with a straight head. Figure 9B shows a solid pin with a concave head. Figure 9C shows a solid pin with a truncated pyramidal head. Figure 9D shows a pin with a concave head and a hollowed center (through which can be inserted an optical fibre). Figure 9E shows a pin with a truncated pyramidal head and a hollowed center.

5 Figure 10 is a schematic representation of the conjugation of beads (activated carboxyl) to pins (amino-functionalized) via amide bonds, and attachment of DNA (via an acid-cleavable linker) to beads. A disulfide linker conjugating the beads to the pins and a thioether conjugation between the bead and the trityl group permits selective cleavage of the beads (with DNA still attached) from the pin surface.

10 Figure 11 is a schematic representation of paramagnetic beads functionalized with streptavidin to pins via a magnetic interaction and attachment of DNA (via a linker (e.g. modified biotin or photocleavable biotin) to allow selective cleavage of the DNA from the beads.

Figures 12 A-C schematically represent a pintoole apparatus and mount, each separately and a cross section of the mount and tool installed.

15 Figure 13 is a schematic representation of mass spectrometry geometries for the pintoole shown in Figure 9A-E.

20 Figure 14 schematically depicts a pintoole onto which a voltage is applied. When an electrical field is applied, nucleic acids are attracted to the anode. This system purifies nucleic acids, since uncharged molecules would remain in solution, while positively charged molecules are attracted towards the cathode.

25 Figure 15 shows a flow chart of the steps involved in sequencing by mass spectrometry using post-biology capture.

Detailed Description of the Invention

30 In general, the invention relates to use of functionalized beads for the immobilization of nucleic acids, wherein the beads are stably associated with a solid support.

35 Figure 1 depicts a bead conjugated to a solid support through one or more covalent or non-covalent bonds. Nucleic acids can be immobilized on the functionalized bead before, during or after the bead is conjugated to the solid support. As used herein, the term "nucleic acid" refers to single stranded and/or double stranded polynucleotides such as deoxyribonucleic acid (DNA), and ribonucleic acid (RNA) as well as analogs or

derivatives of either RNA or DNA. Also included in the term "nucleic acid" are analogs of nucleic acids such as peptide nucleic acid (PNA), phosphorothioate DNA, and the like.

Preferred nucleic acids for use in the subject invention are derivatized to contain at least one reactive moiety. Preferably the reactive moiety is at the 3' or 5' end. Alternatively, a nucleic acid can be synthesized with a modified base. In addition, modification of the sugar moiety of a nucleotide at positions other than the 3' and 5' position is possible through conventional methods. Also, nucleic acid bases can be modified, e.g., by using N7- or N9- deazapurine nucleosides or by modification of C-5 of dT with a linker arm, e.g., as described in F. Eckstein, ed., "Oligonucleotides and Analogues: A Practical Approach," IRL Press (1991). Alternatively, backbone-modified nucleic acids (e.g., phosphoroamidate DNA) can be used so that a reactive group can be attached to the nitrogen center provided by the modified phosphate backbone.

In preferred embodiments, modification of a nucleic acid, e.g., as described above, does not substantially impair the ability of the nucleic acid or nucleic acid sequence to hybridize to its complement. Thus, any modification should preferably avoid substantially modifying the functionalities of the nucleic acid which are responsible for Watson-Crick base pairing. The nucleic acid can be modified such that a non-terminal reactive group is present, and the nucleic acid, when immobilized to the support, is capable of self-complementary base pairing to form a "hairpin" structure having a duplex region.

Examples of insoluble supports for use in the instant invention include beads (silica gel, controlled pore glass, magnetic beads, Dynabeads, Wang resin; Merrifield resin, Sephadex/Sepharose beads, cellulose beads, etc.), capillaries, flat supports such as glass fiber filters, glass surfaces, metal surfaces (steel, gold, silver, aluminum, silicon and copper), plastic materials including multiwell plates or membranes (e.g., of polyethylene, polypropylene, polyamide, polyvinylidenedifluoride), wafers, combs, pins or needles (e.g., arrays of pins suitable for combinatorial synthesis or

analysis) or beads in an array of pits or nanoliter wells of flat surfaces such as wafers (e.g. silicon wafers), wafers with pits with or without filter bottoms.

5 An appropriate "bead" for use in the instant invention includes any three dimensional structure that can be conjugated to a solid support and provides an increased surface area for binding of DNA. Preferably the bead is of a size in the range of about 1 to about 100 μm in diameter. For use in the invention, a bead can be made of virtually any insoluble or solid material. For example, the bead can be comprised of silica gel, glass (e.g. controlled-pore glass (CPG)), nylon, Wang resin, Merrifield resin, Sephadex, 10 Sepharose, cellulose, magnetic beads, Dynabeads, a metal surface (e.g. steel, gold, silver, aluminum, silicon and copper), a plastic material (e.g., polyethylene, polypropylene, polyamide, polyester, polyvinylidenedifluoride (PVDF)) and the like. Beads can be swellable, e.g., polymeric beads such as Wang resin, or non-swellable (e.g., CPG).

15 As used herein, the term "conjugated" refers to ionic or covalent attachment. Preferred conjugation means include: streptavidin- or avidin- to biotin interaction; hydrophobic interaction; magnetic interaction (e.g. using functionalized Dynabeads); polar interactions, such as "wetting" associations between two polar surfaces or between oligo/polyethylene glycol; formation of a covalent bond, such as an 20 amide bond, disulfide bond, thioether bond, or via crosslinking agents; and via an acid-labile linker. In a preferred embodiment for conjugating nucleic acids to beads, the conjugating means introduces a variable spacer between the beads and the nucleic acids. In another preferred embodiment, the conjugation is photocleavable (e.g. streptavidin- or avidin- to biotin interaction can be cleaved by a laser, for example for mass 25 spectrometry).

Appropriate cross-linking agents for use in the invention include a variety of agents that are capable of reacting with a functional group present on a surface of the bead, insoluble support and or nucleic acid and with a functional group present in the 30 nucleic acid and/ or bead, respectively. Reagents capable of such reactivity include homo- and hetero-bifunctional reagents, many of which are known in the art.

Heterobifunctional reagents are preferred. A preferred bifunctional cross-linking agent is N-succinimidyl(4-iodoacetyl) aminobenzoate (SIAB). However, other crosslinking agents, including, without limitation, dimaleimide, dithio-bis-nitrobenzoic acid (DTNB), N-succinimidyl-S-acetyl-thioacetate (SATA), N-succinimidyl-3-(2-pyridyldithio) propionate (SPDP), succinimidyl 4-(N-maleimidomethyl)cyclohexane-1-carboxylate (SMCC) and 6-hydrazinonicotimide (HYNIC) may also be used in the novel process. In certain embodiments, the cross-linking agent can be selected to provide a selectively cleavable bond when the nucleic acid molecule is immobilized on the insoluble support. For example, a photolabile cross-linker such as 3-amino-(2-nitrophenyl)propionic acid (Brown *et al.* (1995) *Molecular Diversity* 4-12 and Rothschild *et al.* (1996) *Nucleic Acids Res.* 24:351-66) can be employed to provide a means for cleaving the nucleic acid from the beads or insoluble (e.g., solid) support, if desired. For further examples of cross-linking reagents, see, e.g., S.S. Wong, "Chemistry of Protein Conjugation and Cross-Linking," CRC Press (1991), and G.T. Hermanson, "Bioconjugate Techniques," Academic Press (1995).

In one preferred embodiment, a covalent amide bond is formed between a bead and a insoluble support by reacting a carboxyl-functionalized bead with an amino-functionalized solid support (e.g., as described in Example 1, below, by reacting a carboxyl-functionalized Wang resin with an amino-functionalized silicon surface). Alternatively, a carboxyl-functionalized support can be reacted with an amino-functionalized bead, which take advantage of an acid-cleavable bifunctional trityl protection scheme employed for nucleic acid attachment. The bifunctional trityl linker can also be attached to the 4-nitrophenyl active ester on a resin (e.g. Wang resin) via an amino group as well as from a carboxy group via an amino resin.

In the bifunctional trityl approach, the beads may require treatment with a volatile acid (e.g. formic acid, trifluoroacetic acid, etc.) to ensure that the nucleic acid is cleaved and can be removed. In which case, the nucleic acid may be deposited as a beadless patch at the bottom of a well in the solid support or on the flat surface of the

solid support. After addition of matrix solution, the nucleic acid can then be desorbed into the mass spectrometer, for example.

5 The hydrophobic trityl linkers can also be exploited as acid-labile linkers by using a volatile acid or an appropriate matrix solution (e.g. a matrix solution containing, for example, 3-hydroxypicolinic acid (3-HPA) to cleave the aminolink trityl group from the nucleic acid molecule). Also, the acid lability can be changed. For example, trityl, monomethoxy, dimethoxy- or trimethoxytrityl can be changed to the appropriate p-substituted and even more acid labile tritylamine derivatives of the nucleic acids (i.e. trityl ether and tritylamine bonds to the nucleic acid can be made). Therefore, the nucleic acid may be removed from the hydrophobic linker, for example, by disrupting the hydrophobic attraction or by cleaving tritylether or tritylamine bonds under acidic or the usual mass spectrometry conditions (e.g. wherein the matrix, such as 3-HPA acts as an acid)

15

As pointed out above, the bead can also be associated with the solid support by non-covalent interactions. For example, a magnetic bead (e.g., a bead capable of being magnetized, e.g., a ferromagnetic bead) can be attracted to a magnetic solid support, and can be released from the support by removal of the magnetic field.

20 Alternatively, the bead can be provided with an ionic or hydrophobic moiety, which can associate with, respectively, an ionic or hydrophobic moiety of the solid support. Also, a bead can be provided with a member of a specific binding pair, and become immobilized to a solid support provided with a complementary binding moiety. For example, a bead coated with avidin or streptavidin can be bound to a surface coated with biotin or derivatives of biotin such as imino-biotin. It will be appreciated that the binding members can be reversed, e.g., a biotin-coated bead can bind to a streptavidin-coated solid support. Other specific binding pairs contemplated for use in the invention include hormone-receptor, enzyme-substrate, nucleic acid-complementary nucleic acid, antibody-antigen and the like.

30

Examples of preferred binding pairs or linker/interactions is shown in the following Table 1

TABLE 1

LINKER/INTERACTION	EXAMPLES
streptavidin-biotin ^{a,c} /photolabile biotin ^b	biotinylated pin, avidin beads, photolabile biotin DNA
hydrophobic ^a	C18-coated pin, tritylated DNA
magnetic ^a	electromagnetic pin, streptavidin Dynabeads, biotin DNA
acid-labile linker ^b	glass pin, bifunctional trityl-linked DNA
amide bond(s) ^c	silicon wafer, Wang resin, amino-linked DNA
disulfide bond ^a	silicon wafer, beads are bound on the flat surface forming arrays or in arrays of nanoliter wells, thiol beads, thiolated DNA
photocleavable bond/linker	
thioether bond ^c	silicon wafer, beads are bound on the flat surface forming arrays or in arrays of nanoliter wells, thiolated DNA

^aThese interactions are reversible. ^bThese non-reversible interactions are rapidly cleaved.

^cUnless cleavable-linkers are incorporated at some point in the scheme, only the complement of the solid-bound DNA can be analysed in these schemes.

In a particularly preferred embodiment the bead is conjugated to the solid support and/or the nucleic acid is conjugated to the bead using an acid-labile bond. For example, use of a trityl linker, as further described in the following Examples 2 and 3, can provide a covalent or hydrophobic conjugation. Regardless of the nature of the conjugation, the trityl group is readily cleaved in acidic conditions.

A nucleic acid can be bound to a bead which is itself bound to a solid support, e.g., by any of the chemistries discussed above for the attachment of nucleic acids to solid supports, or attachment of beads to solid supports.

5 In certain embodiments, the invention contemplates the use of orthogonally-cleavable linkers for binding the bead to the solid support, and for binding the nucleic acid to the bead. Thus, a bead can be selectively cleaved from the surface without cleaving the nucleic acid from the bead, while the nucleic acid is cleaved from the bead at a later stage. For example, a disulfide linker (which can be cleaved, using, e.g.,
10 DTT) could be employed to bind the bead to the solid surface, and a bead-nucleic acid linker involving an acid-cleavable bifunctional trityl group could be used to immobilize a nucleic acid to the bead. Alternatively the linkage of the nucleic acid could be cleaved while the linkage of the bead to the support remains intact.

15 A bead can be bound to a solid support through a linking group which can be selected to have a length and a chemical nature such that high-density binding of beads to the solid support, and/or high-density binding of nucleic acid to the beads, is promoted. Such a linking group would have a "tree-like" structure in providing a multiplicity of functional groups per attachment site on the solid support such as polylysine,
20 polyglutamic acid, pentaerythrole and tris-hydroxy-aminomethane.

In certain embodiments, beads can be cross-linked to other beads, e.g., by use of homobifunctional crosslinking reagents. Cross-linked beads can provide additional mechanical strength compared to non-crosslinked beads.

25 The methods and compositions described herein, can be used to isolate (purify) target nucleic acids from biological samples (reactions). For example, the compositions and methods can be used to isolate particular nucleic acids, which are generated by cloning (Sambrook et al., *Molecular Cloning : A Laboratory Manual*, Cold
30 Spring Harbor Laboratory Press, 1989), polymerase chain reaction (PCR) (C.R. Newton and A. Graham, *PCR*, BIOS Publishers, 1994), ligase chain reaction (LCR) (Wiedmann, M., et. al., (1994) *PCR Methods Appl.* Vol. 3, Pp. 57-64; F. Barany *Proc. Natl. Acad. Sci USA* 88, 189-93 (1991), strand displacement amplification (SDA) (G. Terrance

Walker et al., *Nucleic Acids Res.* 22, 2670-77 (1994)) European Patent Publication Number 0 684 315 entitled "Strand Displacement Amplification Using Thermophilic Enzymes") and variations such as RT-PCR (Higuchi, et al., *Bio/Technology* 11:1026-1030 (1993)), allele-specific amplification (ASA), cycle sequencing and transcription based processes.

Further, the methods and compositions can be used to isolate or transfer particular nucleic acids during the performance of a particular reaction. For example, a PCR reaction can be performed to 'master' mix without addition of the dideoxynucleotides (d/ddNTPs) or sequencing primers. Aliquots can then be isolated via a conjugation means described herein and transferred, for example to a sequencing plate, where d/ddNTPs and primers can then be added to perform a sequencing reaction. Alternatively, the PCR can be split between A, C, G, and T master mixes. Aliquots can then be transferred to a sequencing plate and sequencing primers added.

For example, 0.4-0.5 pmol of PCR product can be used in a cycle-sequencing reaction using standard conditions, allowing each PCR to be used for 10 sequencing reactions (10 x A, C, G, and T). The sequencing reactions can be carried out in a volume of 10 μ l containing 5-6 pmol of 5'-labeled sequencing primer in a standard 384 microwell plate allowing up to 96 sequencing reactions (3360 bases at 35 bases per reaction). Alternatively, a 192 microwell plate approximately 5 x 5cm in a 12 x 16 format can be used. This format allows up to 48 sequencing reactions to be carried out per well, resulting in 1680 bases per plate (at 35 bases per reaction). The format of the sequencing plate will determine the dimensions of the transfer agent (e.g. pin-tool).

A pin tool in a 4 X 4 array (Fig. 8) can be applied to the wells of the sequencing plate and the sequencing products captured on functionalized beads as described herein, which are attached to the tips of the pins (≥ 1 pmol capacity). During the capture/incubation step, the pins can be kept in motion (vertical, 1-2mm travel) to mix the sequencing reaction and increase the efficiency of the capture.

Alternatively, the nucleic acid can be directly captured onto the pin-tool, for example, a linking functionality on the pin-tool can immobilize the nucleic acid upon contact. Further, immobilization can result from application to the pin-tool of an electrical field, as shown in Figure 14. When a voltage is applied to the pin-tool, the nucleic acids are attracted to the anode. This system also purifies nucleic acids, since uncharged molecules remain in solution and positively charged molecules are attracted to the cathode. For more specificity, the pin-tool (with or without voltage), can be modified to contain a partially or fully single stranded oligonucleotide (e.g. about 5-12 base pairs). Only complementary nucleic acid sequences (e.g. in solution) are then specifically conjugated to the pins.

In yet a further embodiment, a PCR primer can be conjugated to the tip of a pin-tool. PCR can be performed with the solid phase (pin-tool)-bound primer and a primer in solution, so that the PCR product becomes attached to the pin-tool. The pin-

tool with the amplification product can then be removed from the reaction and analyzed (e.g. by mass spectrometry).

5 Examples of different pin conformations are shown in Figure 9. For
example, Figures 9a., 9b. and 9c. show a solid pin configuration. Figures 9d. and 9e
show pins with a channel or hole through the center, for example to accomodate an optic
fibre for mass spectrometer detection. The pin can have a flat tip or any of a number of
configurations, including nanowell, concave, convex, truncated conic or truncated
pyramidal (e.g. size 4-800 μ across x 100 μ depth). In a preferred embodiment, the
10 individual pins are about 5mm in length and about 1mm in diameter. The pins and
mounting plate can be made of polystyrene (e.g. one-piece injection moulded).
Polystyrene is an ideal material to be functionalised and can be moulded with very high
tolerances. The pins in a pin-tool apparatus may be collapsible (eg, controlled by a
scissor-like mechanism), so that pins may be brought into closer proximity, reducing the
15 overall size.

 Captured nucleic acids can be analyzed by any of a variety of means
including, for example, spectrometric techniques such as UV/VIS, IR, fluorescence,
chemiluminescence, or NMR spectroscopy, mass spectrometry, or other methods known
20 in the art, or combinations thereof. Preferred mass spectrometer formats include
ionization (I) techniques, such as matrix assisted laser desorption (MALDI), continuous
or pulsed electrospray (ESI) and related methods (e.g. Ionspray or Thermospray), or
massive cluster impact (MCI); these ion sources can be matched with detection formats
including linear or non-linear reflectron time-of-flight (TOF), single or multiple
25 quadrupole, single or multiple magnetic sector, Fourier Transform ion cyclotron
resonance (FTICR), ion trap, and combinations thereof (e.g., ion-trap/time-of-flight). For
ionization, numerous matrix/wavelength combinations (MALDI) or solvent combinations
(ESI) can be employed.

30 If conditions preclude direct analysis of captured DNA, then the DNA can
be released and/or transferred. However, it may be important that the advantages of
sample concentration are not lost at this stage. Ideally, the sample should be removed
from the surface in as little a volume of eluent as possible, and without any loss of sample.
Another alternative is to remove the beads (+sample) from the surface, where relevant,
35 and measure the sample directly from the beads.

 For example, for detection by mass spectrometry, the pin-tool can be
withdrawn and washed several times, for example in ammonium citrate to condition the
sample before addition of matrix. For example, the pins can simply be dipped into matrix
40 solution. The concentration of matrix can then be adjusted such that matrix solution only
adheres to the very tip of the pin. Alternatively, the pintool can be inverted and the
matrix solution sprayed onto the tip of each pin by a microdrop device. Further, the
products can be cleaved from the pins, for example into a nanowell on a chip, prior to
addition of matrix.

45

For analysis directly from the pins, a stainless steel 'mask' probe can be fitted over the pins in one scheme (Fig. 12) which can then be installed in the mass spectrometer.

5 Two mass spectrometer geometries for accomodating the pin-tool apparatus are proposed in Figure 13. The first accomodates solid pins. In effect, the laser ablates a layer of material from the surface of the crystals, the resultant ions being accelerated and focused through the ion optics. The second geometry accomodates fibre optic pins in which the samples are lasered from behind. In effect, the laser is focused
10 onto the pin-tool back plate and into a short optical fibre (about 100 μm in diameter and about 7mm length to include thickness of theback plate). This geometry requires the volatilised sample to go through the depth of the matrix/bead mix, slowing and cooling down the ions resulting in a type of delayed extraction which should actually increase the resolution of the analysis.

15 The probe through which the pins are fitted can also be of various geometries. For example, a large probe with multiple holes, one for each pin, fitted over the pin-tool. The entire assembly is translated in the X-Y axes in the mass spectrometer. Alternatively, as a fixed probe with a single hole, which is large enough to give an
20 adequate electric field, but small enough to fit between the pins. The pin-tool is then translated in all three axes with each pin being introduced through the hole for sequential analyses. This format is more suitable for the larger pin-tool (i.e. based on a standard 384 well microplate format). The two probes described above, are both suitable for the two mass spectrometer geometries described above.

25

Figure 14 schematically depicts the steps involved in mass spectrometry sequencing by post biology capture as described above.

30 The methods of the invention are useful for providing spatially-addressable arrays of nucleic acids immobilized on beads, which are further attached to solid supports. Such spatially addressable or pre-addressable arrays are useful in a variety of processes (e.g., SBH, quality control, and DNA sequencing diagnostics). In another aspect, the invention provides combinatorial libraries of immobilized nucleic acids bound to beads,
35 which are further bound to a solid support as described above.

In still another aspect, the invention provides a kit for immobilizing nucleic acids on beads, which are further bound to a solid support. In one embodiment, the kit comprises an appropriate amount of: i) beads, and/or ii) the insoluble support, and iii)

conjugation means. The kits described herein can also optionally include appropriate buffers; containers for holding the reagents; and/or instructions for use.

5 The present invention is further illustrated by the following Examples, which are intended merely to further illustrate and should not be construed as limiting. The entire contents of all of the references (including literature references, issued patents, published patent applications, and co-pending patent applications) cited throughout this application are hereby expressly incorporated by reference.

10 EXAMPLE 1: Attachment of Resin Beads to a Silicon Surface

 A silicon surface (e.g. of a silicon wafer) is derivatized with amino groups by treatment with 3- aminopropyltriethoxysilane. Wang resin beads are treated with succinic anhydride to provide carboxyl-functionalized resin beads. The carboxyl-
15 functionalized resin beads are then coupled to the amino-functionalized silicon surface with a coupling reagent (for example, dicyclohexylcarbodiimide (DCC)), in the presence of p-nitrophenol. The resin beads become covalently linked to the silicon surface, and the unreacted carboxyl groups of the resin are converted to the p-nitrophenyl ester (an activated ester suitable for coupling with a nucleic acid).

20

 Alternatively, the carboxyl groups of the Wang resin are transformed to the p- nitrophenyl active esters prior to reacting with the amino- functionalized silicon surface.

25

 Thus, resin beads can be rapidly and conveniently attached to a silicon surface, and can be simultaneously converted to a reactive form suitable for covalent attachment of nucleic acids.

30 EXAMPLE 2: Immobilization of nucleic acids on solid supports via an acid-labile covalent bifunctional trityl linker.

Aminolink DNA was prepared and purified according to standard methods. A portion (10eq) was evaporated to dryness on a speedvac and suspended in anhydrous DMF/pyridine (9:1; 0.1 ml). To this was added the chlorotrityl chloride resin (1eq, 1.05 mol/mg loading) and the mixture was shaken for 24 hours. The loading was checked by taking a sample of the resin, detritylating this using 80% AcOH, and measuring the absorbance at 260nm. Loading was ca. 150pmol/mg resin.

In 80% acetic acid, the half-life of cleavage was found to be substantially less than 5 minutes--this compares with trityl ether-based approaches of half-lives of 105 and 39 minutes for *para* and *meta* substituted bifunctional dimethoxytrityl linkers respectively. Preliminary results have also indicated that the 3- hydroxy picolinic acid matrix alone is sufficient to cleave the DNA from the chlorotrityl resin during MALDI mass spectrometry.

15

009379-0499
26460-26460

EXAMPLE 3: Immobilization of nucleic acids on solid supports via hydrophobic trityl linker.

5 The primer contained a 5'-dimethoxytrityl group attached using routine trityl-on DNA synthesis.

10 C18 beads from an oligo purification cartridge (0.2mg) placed in a filter tip was washed with acetonitrile, then the solution of DNA (50ng in 25 l) was flushed through. This was then washed with 5% acetonitrile in ammonium citrate buffer (70mM, 250 l). To remove the DNA from the C18, the beads were washed with 40% acetonitrile in water (10 l) and concentrated to ca 2 l on the Speedvac or directly subjected to MALDI mass spectrometry.

15 Alternatively C18 beads were first covalently attached to a silicon surface (e.g. a silicon wafer) or adsorbed to a flat surface by hydrophobic interaction.

20 The results showed that acetonitrile/water at levels of ca.>30% are enough to dissociate the hydrophobic interaction. Since the matrix used in MALDI contains 50% acetonitrile, the DNA can be released from the support and MALDIed successfully (with the trityl group removed during the MALDI process).

EXAMPLE 4: Attaching Beads to Silicon Chips

25 *Amino derivatisation of silicon surface*

30 The silicon wafers were washed with ethanol to remove surface debris and flamed over a bunsen burner until "red hot" to ensure oxidation of the surface. After cooling, the wafers were immersed in an anhydrous solution of 3-aminopropyltriethoxysilane in toluene (25%v/v) for 3 hours. The wafers were then washed with toluene (three times) then anhydrous dimethylacetamide (three times).

Activation of Wang resin beads

Vacuum- dried Wang resin beads (5g, 0.84mmol/g loading, 4.2 mmol, diameter 100-200mesh), obtained from Novabiochem, were suspended in pyridine (40ml) with DMAP (0.1eq, 0.42mmol, 51mg). To this was added succinic anhydride (5eq,
5 21mmol, 2.10g) and the reaction was shaken for 12 hours at room temperature. After this time, the beads were washed with dimethylformamide (three times), then pyridine (three times) and suspended in pyridine/dimethylformamide (1:1, 20ml). 4-Nitrophenol (2eq, 8.4mmol, 1.40g) was added and the condensation was activated by adding dicyclohexylcarbodiimide (DCC) (2eq, 8.4mmol, 1.73g) and the reaction mixture was
10 shaken for 12 hours. The beads were then washed with dimethylformamide, pyridine and hexane, and stored at 4 C.

Coupling of Beads to Silicon Wafers

The amino-derivatised silicon wafer is treated with a suspension of the 4-
15 nitrophenol beads in dimethyl acetamide (DMA), and within five minutes, the beads are covalently linked to the surface. The coated surface can then be washed with DMA, ethanol and water, under which conditions the beads remain as a uniform monolayer. Care must be taken to avoid scratching the beaded surface. The beads can then be reacted with the amino-functionalised modified DNA.

20 EXAMPLE 5: Immobilization of nucleic acids on solid supports via
Streptavidin-Iminobiotin

2-iminobiotin N-hydroxy-succinimid ester (Sigma) was conjugated to the
25 oligonucleotides with a 3'- or 5'- amino linker following the conditions suggested by the manufacture. The completion of the reaction was confirmed by MALDI-TOF MS analysis and the product was purified by reverse phase HPLC.

For each reaction, 0.1 mg of streptavidin-coated magnetic beads
30 (Dynabeads M-280 Streptavidin from Dynal) were incubated with 80 pmol of the

2025 RELEASE UNDER E.O. 14176

corresponding oligo in the presence of 1M NaCl and 50mM ammonium carbonate (pH 9.5) at room temperature for one hour. The beads with bound oligonucleotides were washed twice with 50mM ammonium carbonate (pH 9.5). Then the beads were incubated in 2 μ l of 3-HPA matrix at room temperature for 2 min. An aliquot of 0.5 μ l of
5 supernatant was applied to MALDI-TOF. For biotin displacement experiment, 1.6 nmol of free biotin (80 fold excess to the bound oligo) in 1 μ l of 50 mM ammonium citrate was added to the beads. After a 5 min. incubation at room temperature, 1 μ l of 3-HPA matrix was added and 0.5 μ l of supernatant was applied to MALDI-TOF MS. To maximize the recovery of the bound iminobiotin oligo, the beads from the above
10 treatment were again incubated with 2 μ l of 3-HPA matrix and 0.5 μ l of the supernatant was applied to MALDI-TOF MS.

Both matrix alone and free biotin treatment quantitatively released iminobiotin oligo off the streptavidin beads as shown in Figures 5 and 6. Almost no
15 bound oligo was observed after the second treatment which confirmed the complete recovery

Equivalents

20 Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, numerous equivalents to the specific procedures described herein. Such equivalents are considered to be within the scope of this invention and are covered by the following claims.
25

Claims

- 5 1. A composition comprising a bead conjugated to a solid support and further conjugated to a nucleic acid.
- 10 2. A composition of claim 1, wherein the bead is made from a material selected from the group consisting of: silica gel, glass, magnet, Wang resin, Merrifield resin, metal, plastic, cellulose, Sephadex, and Sepharose.
- 15 3. A composition of claim 1, wherein the bead is swellable
4. A composition of claim 1, wherein the bead is nonswellable.
- 20 5. A composition of claim 1, wherein the bead is in the range of 1 to 100 μ m in diameter.
6. A composition of claim 1, wherein the solid support is selected from the group consisting of: beads, capillaries, plates, membranes, wafers, combs, pins, wafers, wafers with arrays of pits and nanoliter wells.
- 25 7. A composition of claim 1, wherein the nucleic acid is DNA.
8. A composition of claim 1, wherein the nucleic acid is RNA.
- 30 9. A process of making a bead conjugated to a solid support and further conjugated to a nucleic acid, comprising the steps of conjugating a bead to a nucleic acid; and conjugating a bead to a solid support.

10. A process of claim 9, wherein the bead is functionalized.
11. A process of claim 10, wherein the bead is functionalized with carboxy functional groups.

5

12. A process of claim 10, wherein the bead is functionalized with amino functional groups.

10

13. A process of claim 10, wherein the bead is conjugated to the nucleic acid prior to conjugation of the bead to the solid support.
14. A process of claim 10, wherein the bead is conjugated to the nucleic acid after the bead is conjugated to the solid support.

Compositions and Methods for Immobilizing Nucleic Acids to Solid Supports

Novel compositions comprised of at least one bead conjugated to a solid support and further conjugated to at least one nucleic acid and preferred methods for making the novel compositions are described. As compared to "flat" surfaces, beads linked to a solid support provide an increased surface area for immobilization of nucleic acids. Furthermore, by selecting a bead with the desired functionality, a practitioner can select a functionalization chemistry for immobilizing nucleic acids, which is different from the chemistry of the solid support.

15

20

DECLARATION FOR CONTINUATION-IN-PART PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Compositions and Methods for Immobilizing Nucleic Acid to Solid Supports

the specification of which

(X) was filed on September 19, 1997, as United States Application Serial Number _____,

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

This application in part discloses and claims subject matter disclosed in my earlier filed pending application,

Serial No. 08/746,036, Filed November 6, 1996,

and I hereby claim the benefit of said United States prior application under Title 35, United States Code, §120.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulation, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s) _____ Priority Claimed _____

(Number) (Country) (Day/Month/Year Filed) () Yes () No

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States Provisional application(s) listed below.

(Application Number) (Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

I hereby appoint Beth E. Arnold, Reg. No. 35,430; Charles H. Cella, Reg. No. 38,099; John C. Gorecki, Reg. No. 38,471; Edward J. Kelly, Reg. No. 38,936; Donald W. Muirhead, Reg. No. 33,978; and Matthew P. Vincent, Reg. No. 36,709 as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all telephone calls to Beth E. Arnold, Esq. at telephone number (617) 832-1294.

Address all correspondence to: Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor (given name, family name) Hubert Köster
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

Full name of co-inventor (given name, family name) David M. Lough
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

() Additional inventors are being named on separately numbered sheets attached hereto.

08/746,036-01997

INVENTOR SHEET

Full name of second joint inventor (given name, family name) Dirk Reuter
Inventor's Signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address _____

Full name of third joint inventor (given name, family name) Scott Higgins
Inventor's Signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address _____

Full name of fifth joint inventor (given name, family name) _____
Inventor's Signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address _____

Full name of sixth joint inventor (given name, family name) _____
Inventor's Signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address _____

Full name of seventh joint inventor (given name, family name) _____
Inventor's Signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address _____

Full name of ninth joint inventor (given name, family name) _____
Inventor's Signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address _____

Full name of tenth joint inventor (given name, family name) _____
Inventor's Signature _____ Date _____
Residence _____ Citizenship _____
Post Office Address _____

FIGURE 1

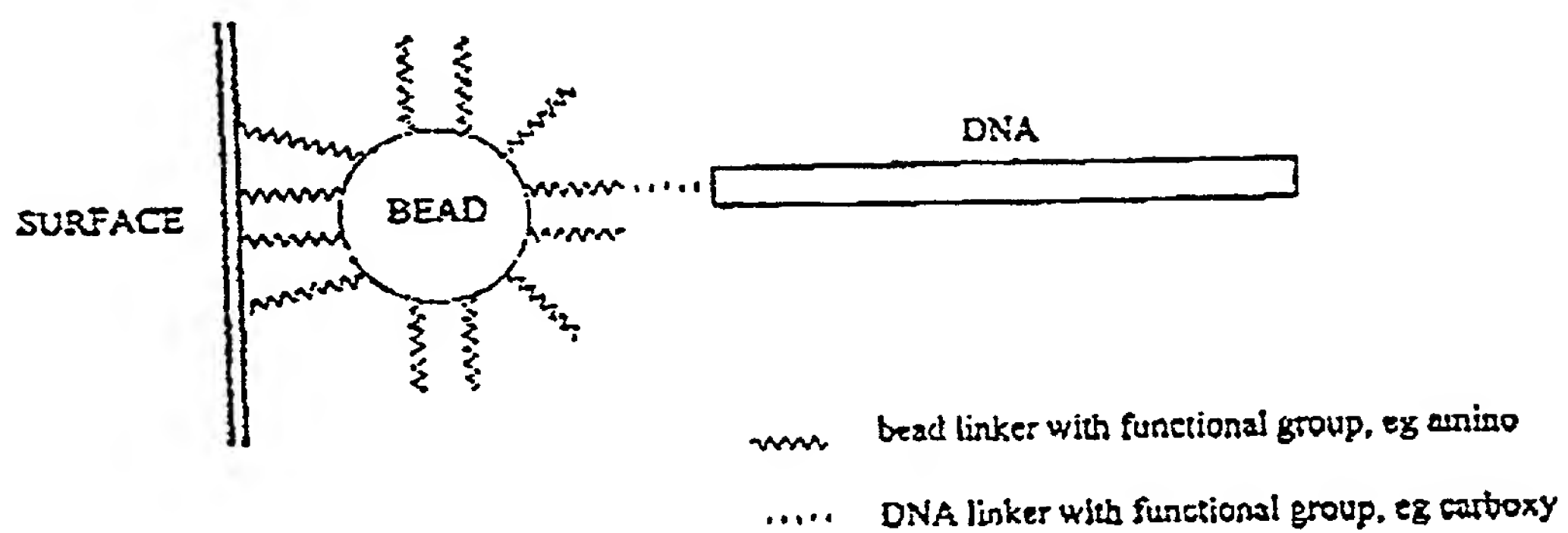
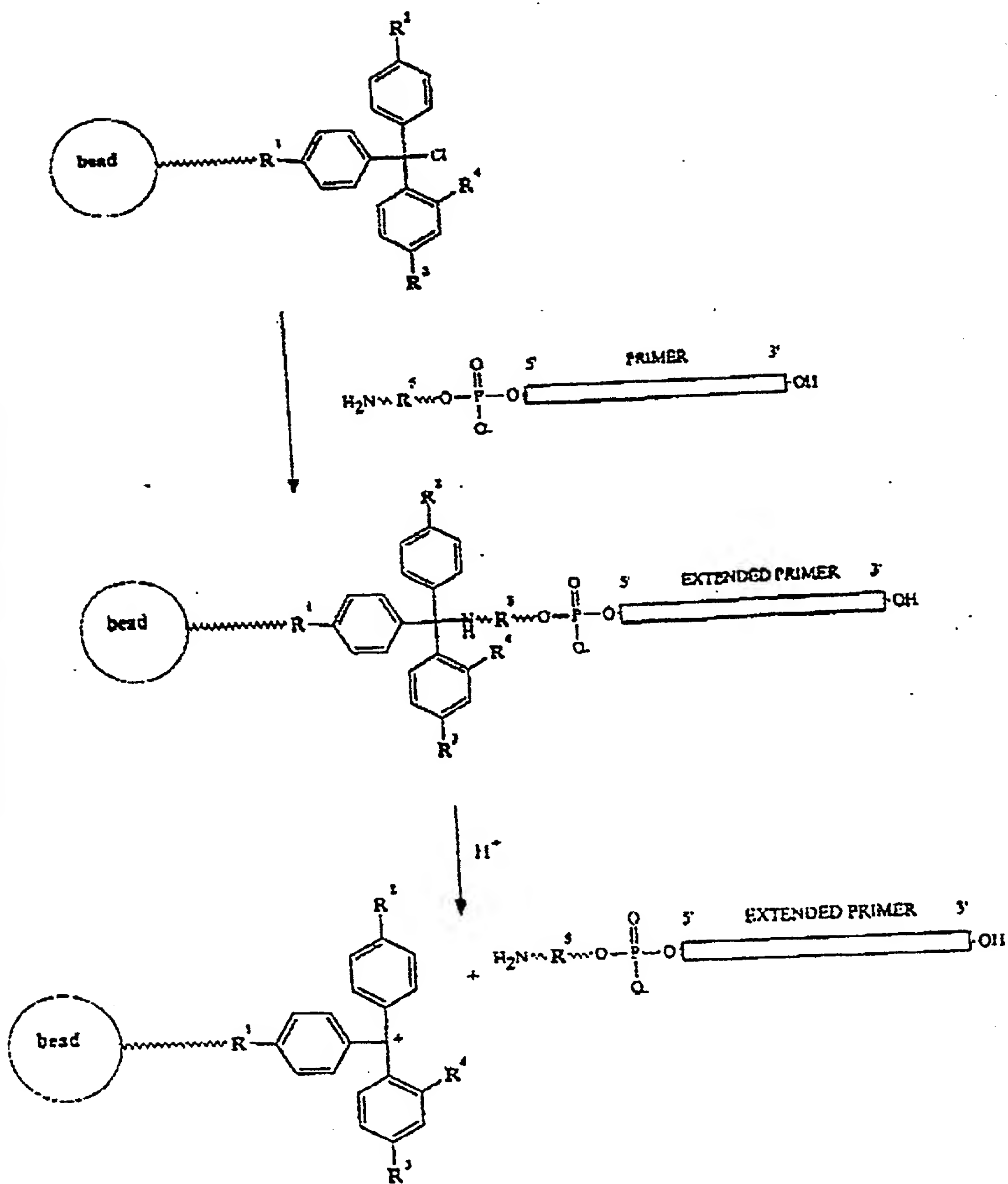


FIGURE 3



$R^1 = COO; (CH_2)_n; (para \text{ or } meta)$

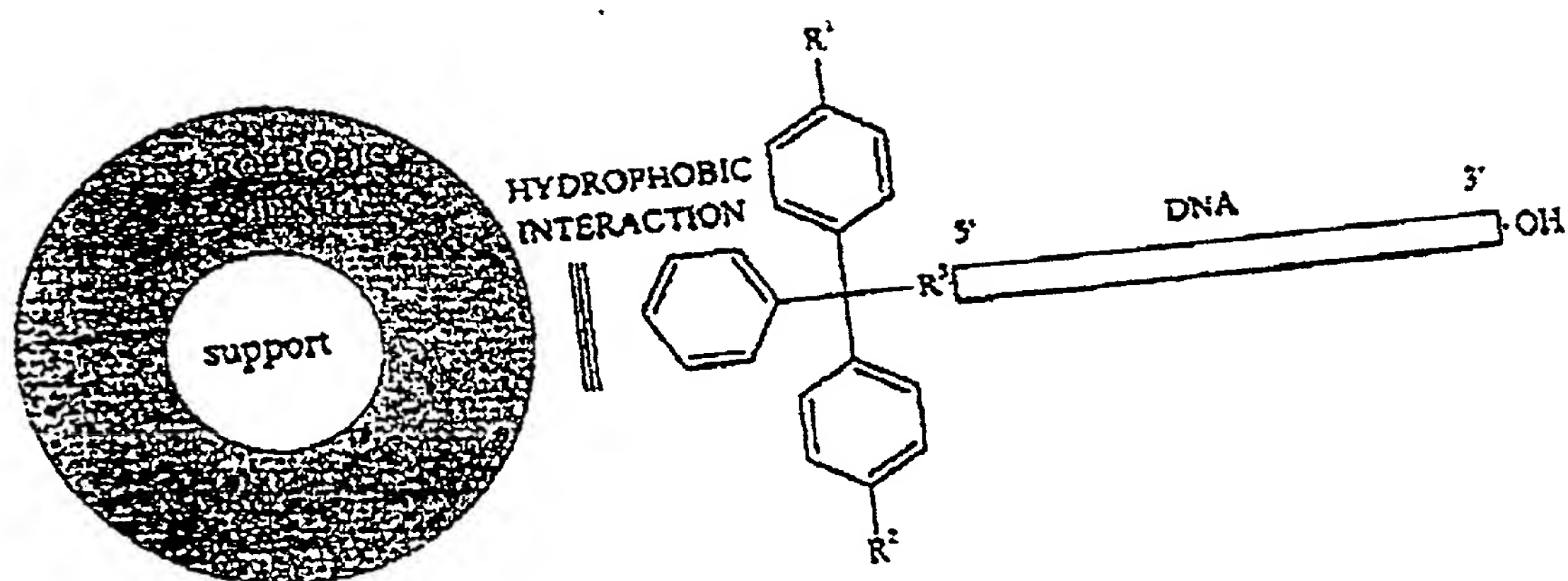
$R^2 = MeO; H$

$R^3 = MeO; H$

$R^4 = Cl; H$

$R^5 = (CH_2)_m; (CH_2)_nCONH(CH_2)_n$

FIGURE 4



$R^1 = \text{OMe, H}$
 $R^2 = \text{OMe, H}$
 $R^3 = \text{O, NH}$

2025-04-09 15:44:00

Fig. 5

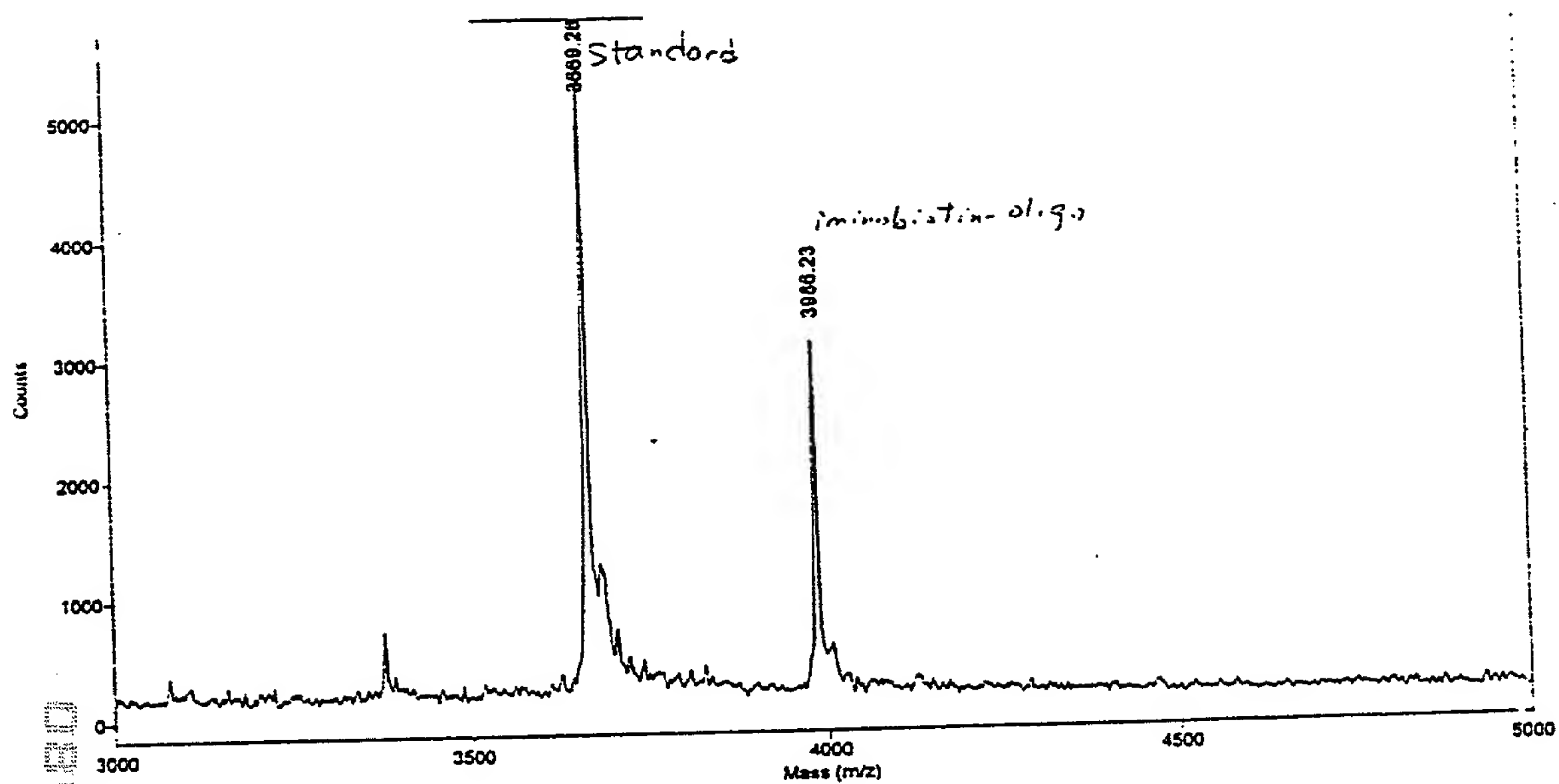
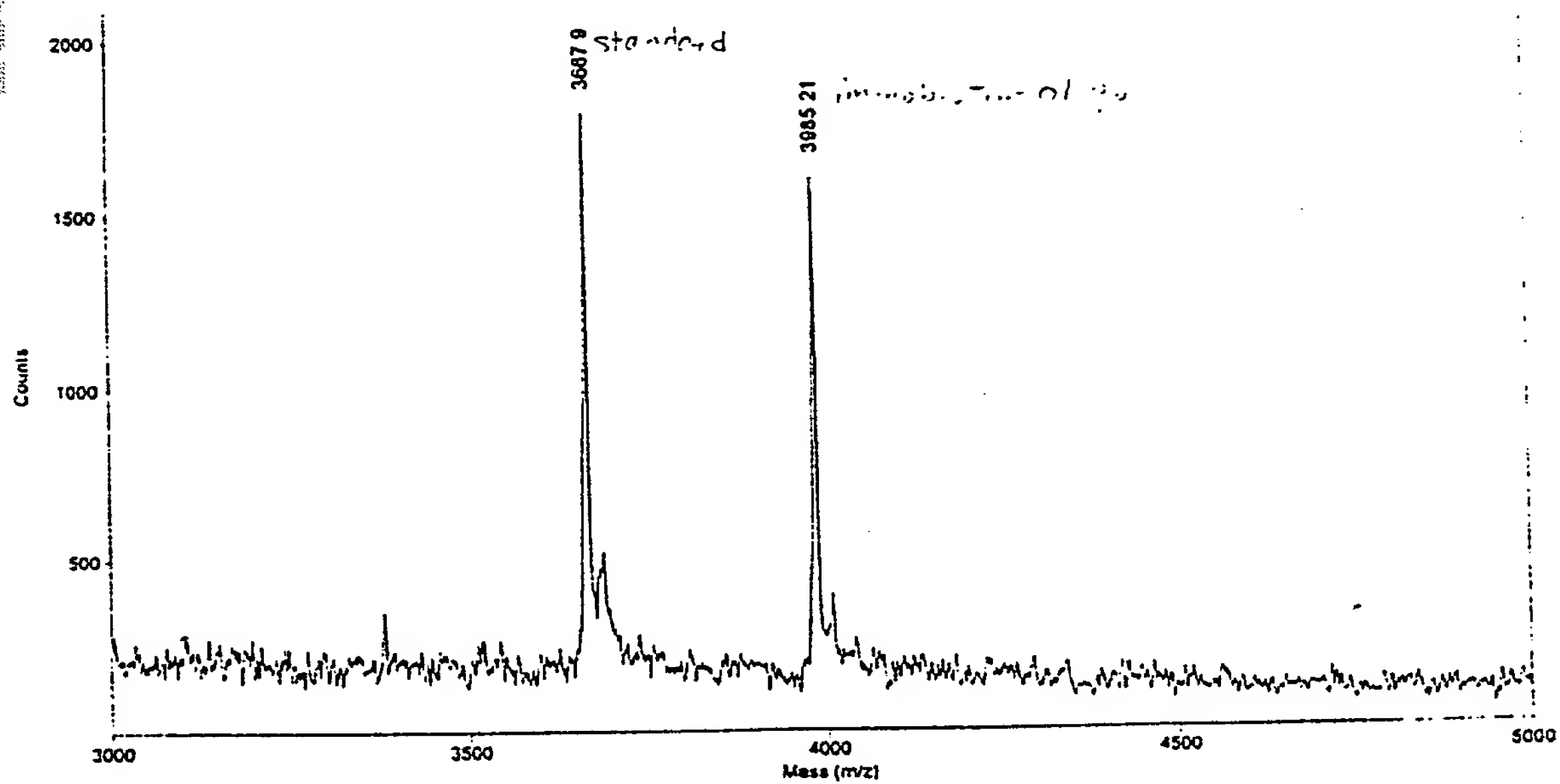


Fig. 6



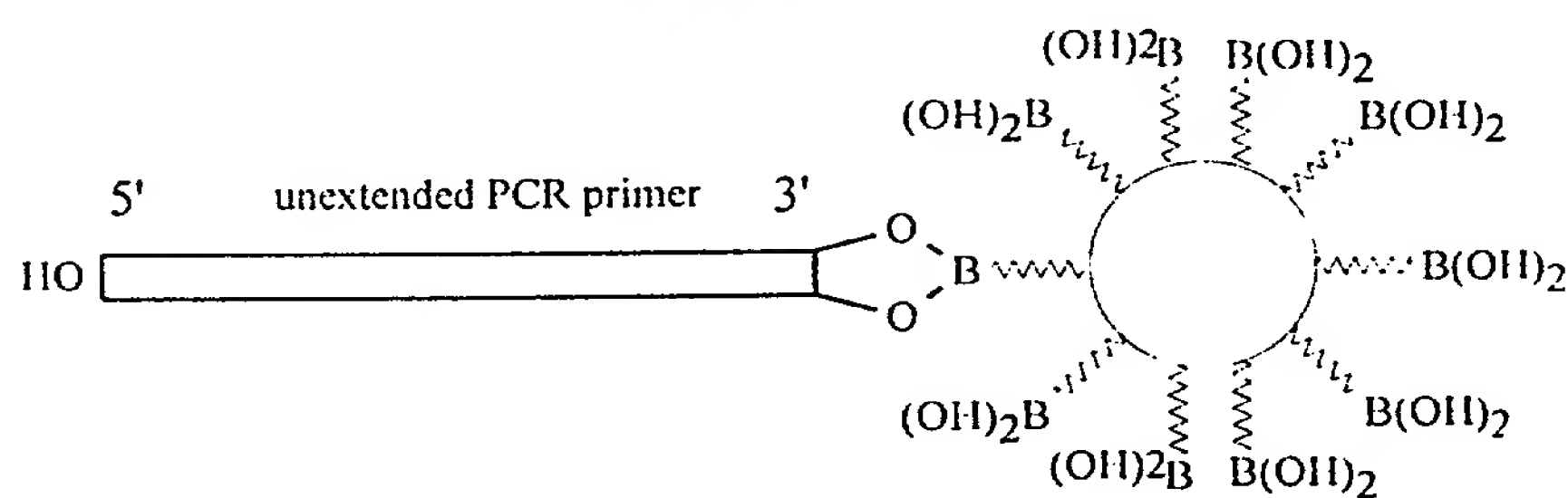
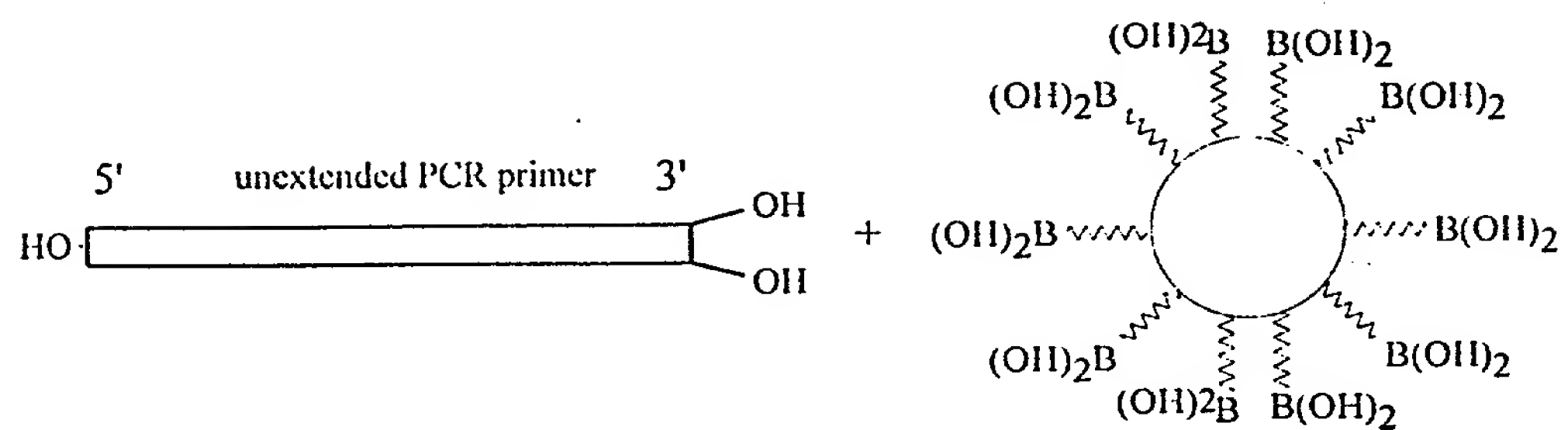
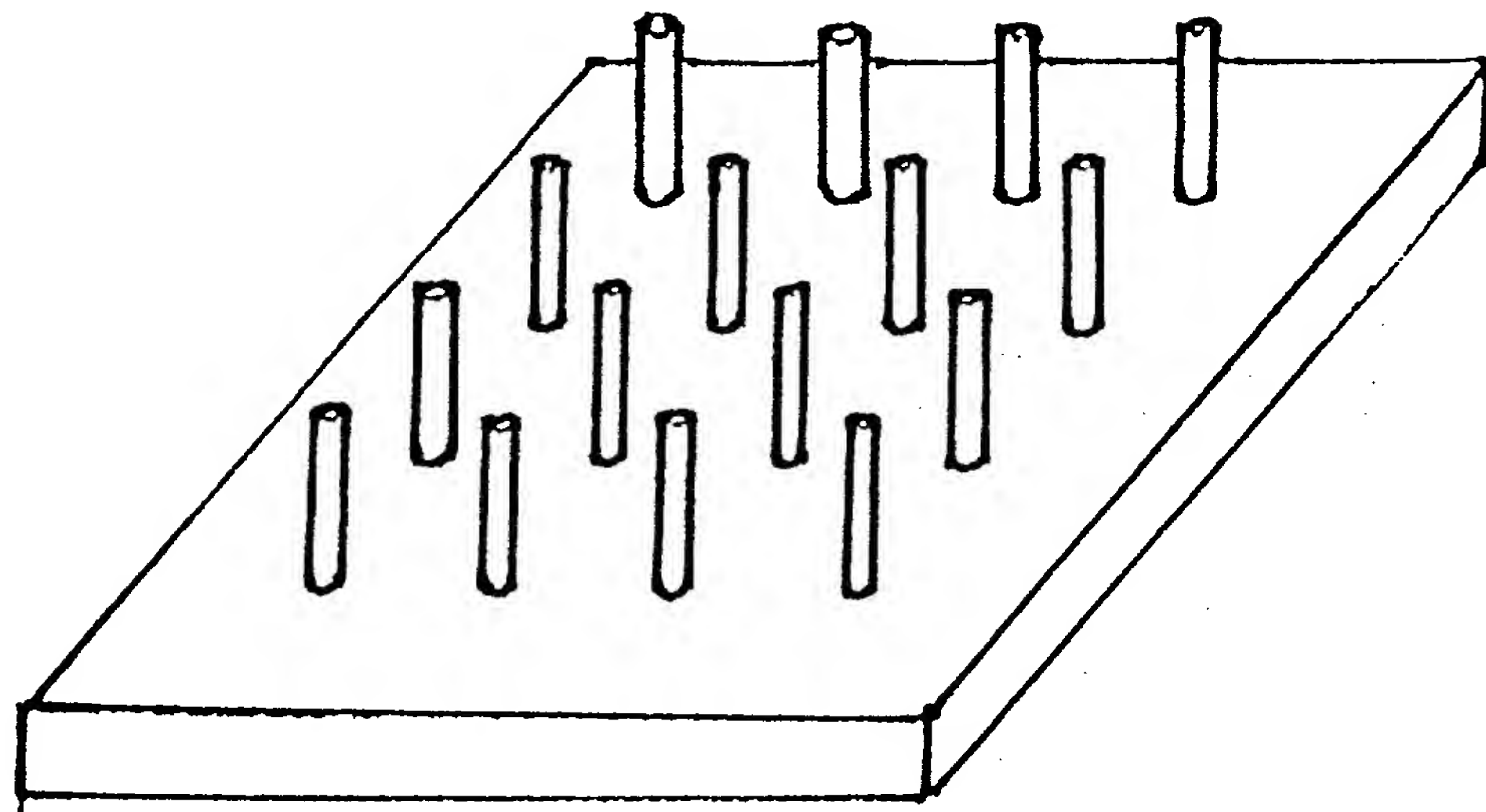


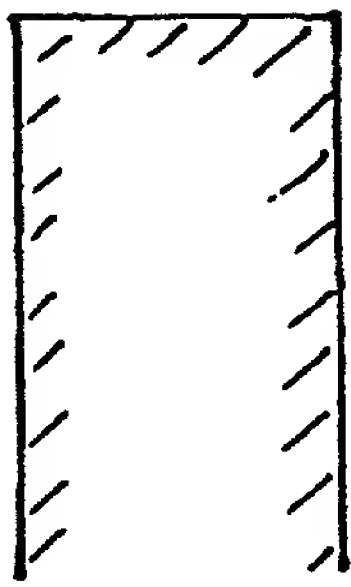
Fig. 7



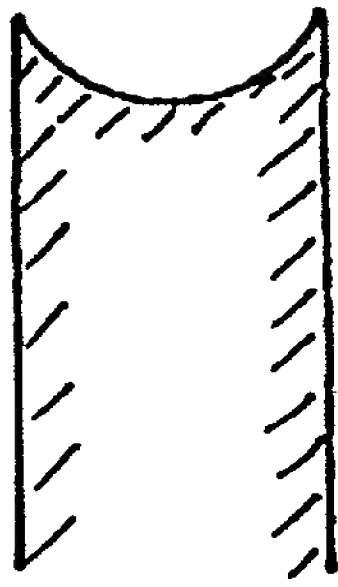
Pin tool

Fig . 8

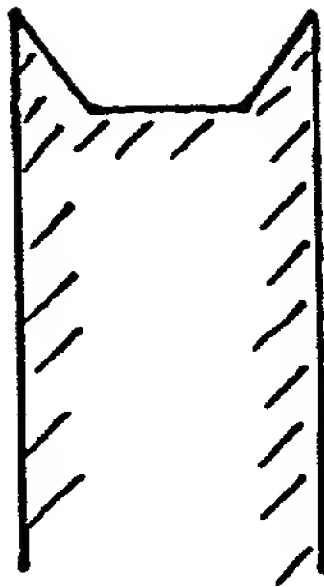
465160-06/EEB0



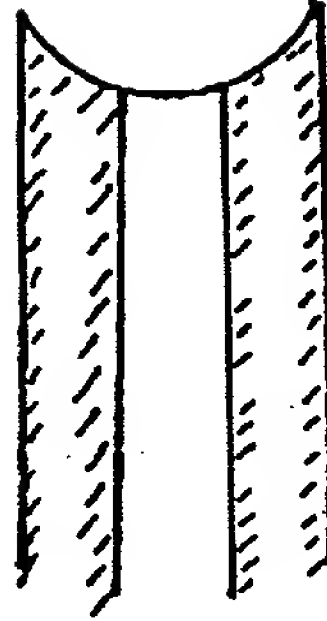
a.



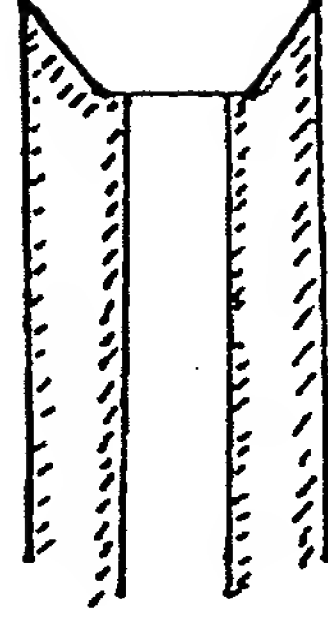
b.



c.



d.

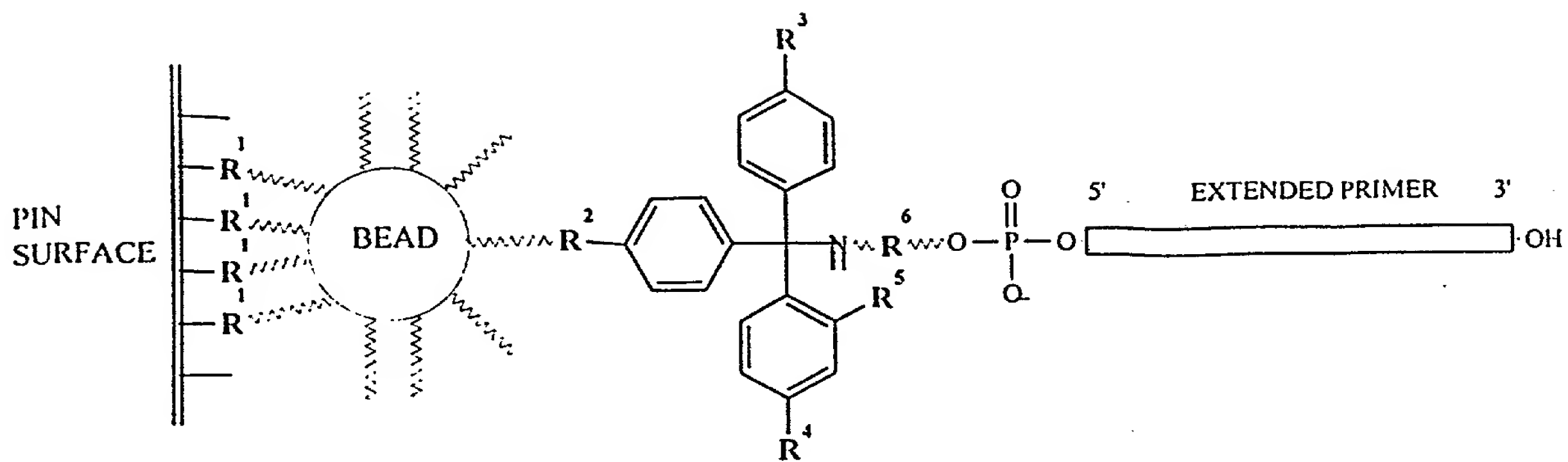


e.

SOLID PIN

PIN IS 'LIGHT PIPE'
(not to scale)

Fig. 9



$R^1 = (CH_2)_a NHCO(CH_2)_b; (CH_2)_c S-S(CH_2)_d$

$R^2 = (CH_2)_e CONH(CH_2)_f; (CH_2)_g S(CH_2)_h$

$R^3 = MeO; H$

$R^4 = MeO; H$

$R^5 = Cl; H$

$R^6 = (CH_2)_n; (CH_2)_x CONH(CH_2)_y$

Fig. 10

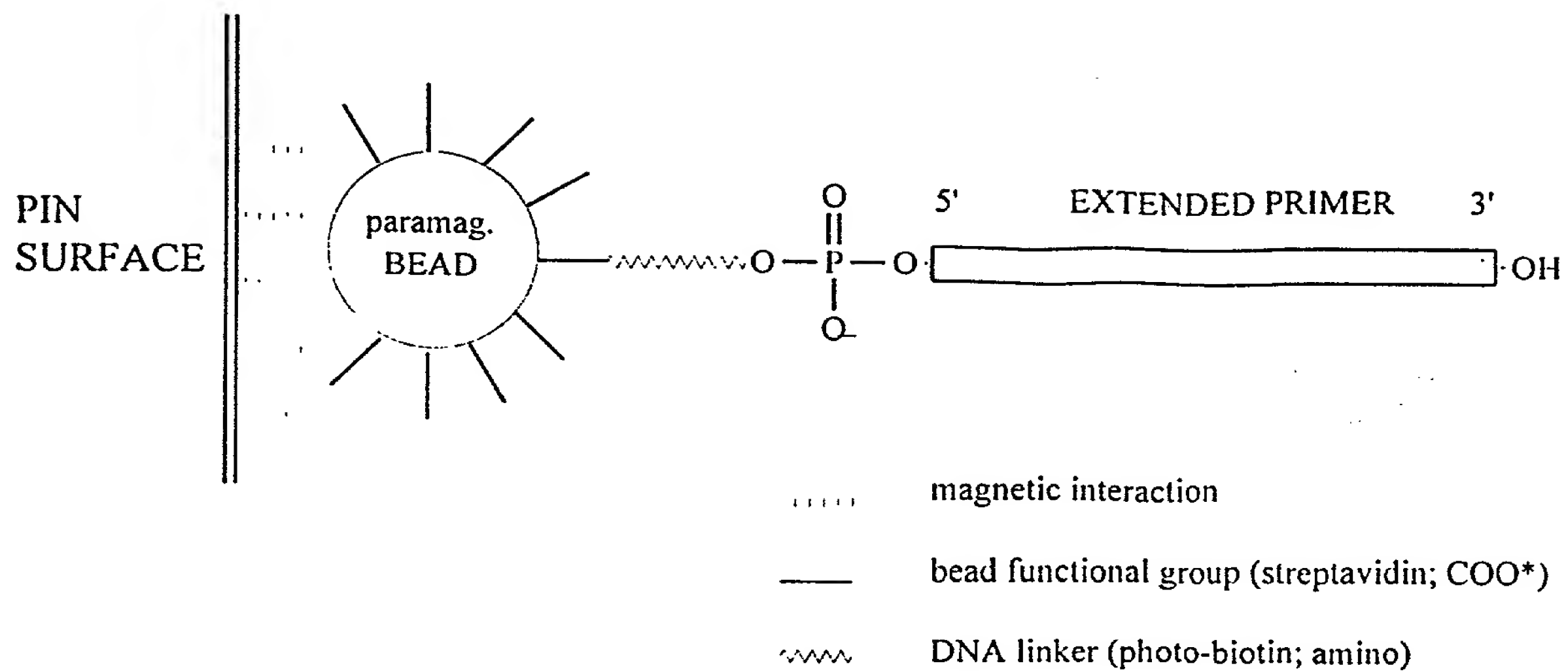
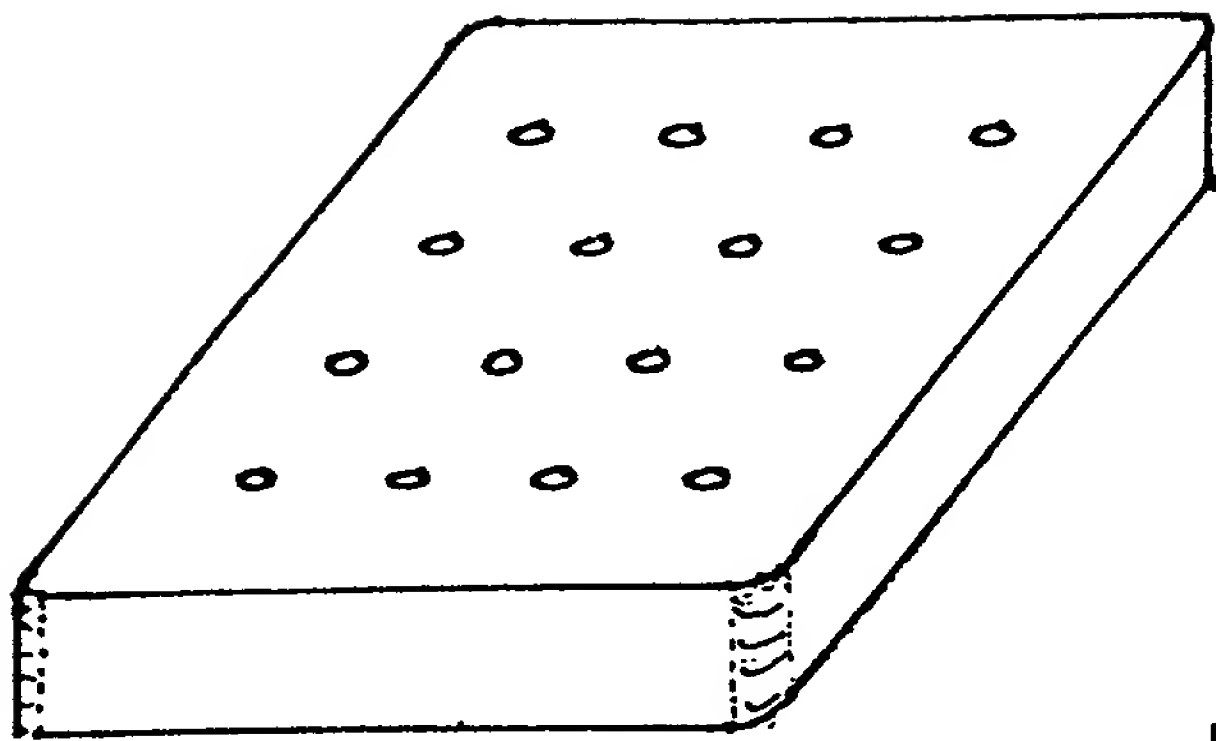


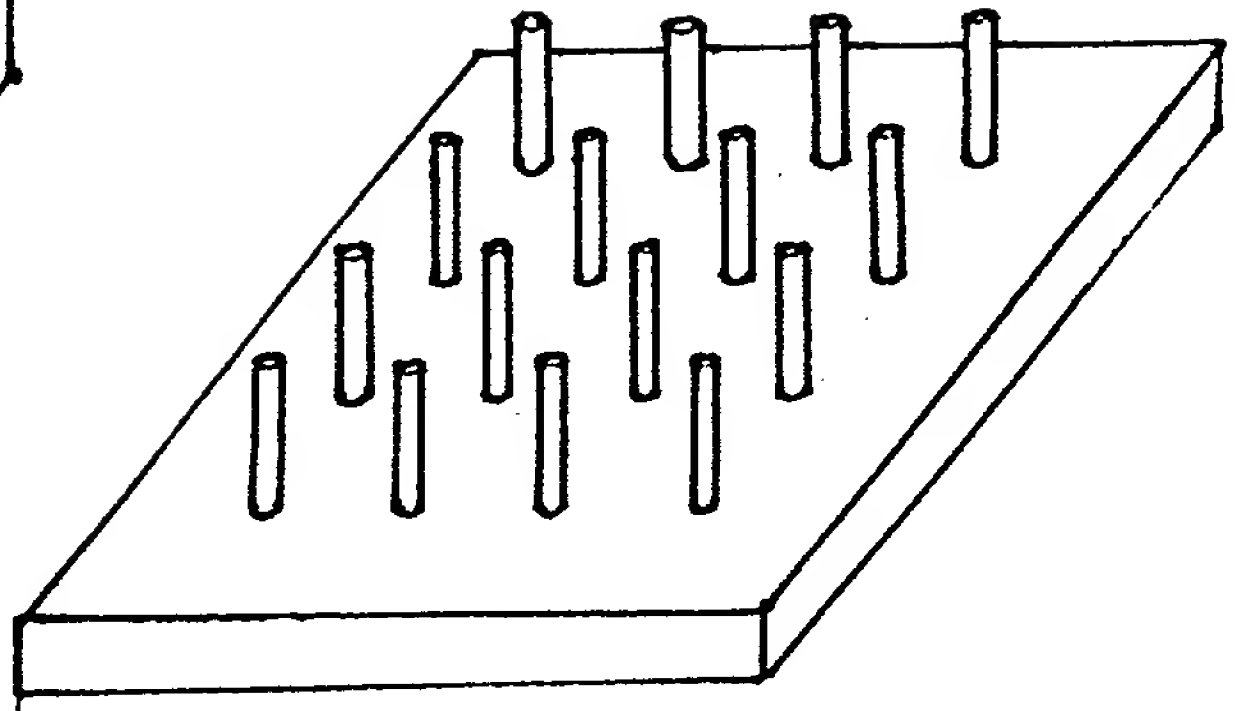
Fig. 11

a.



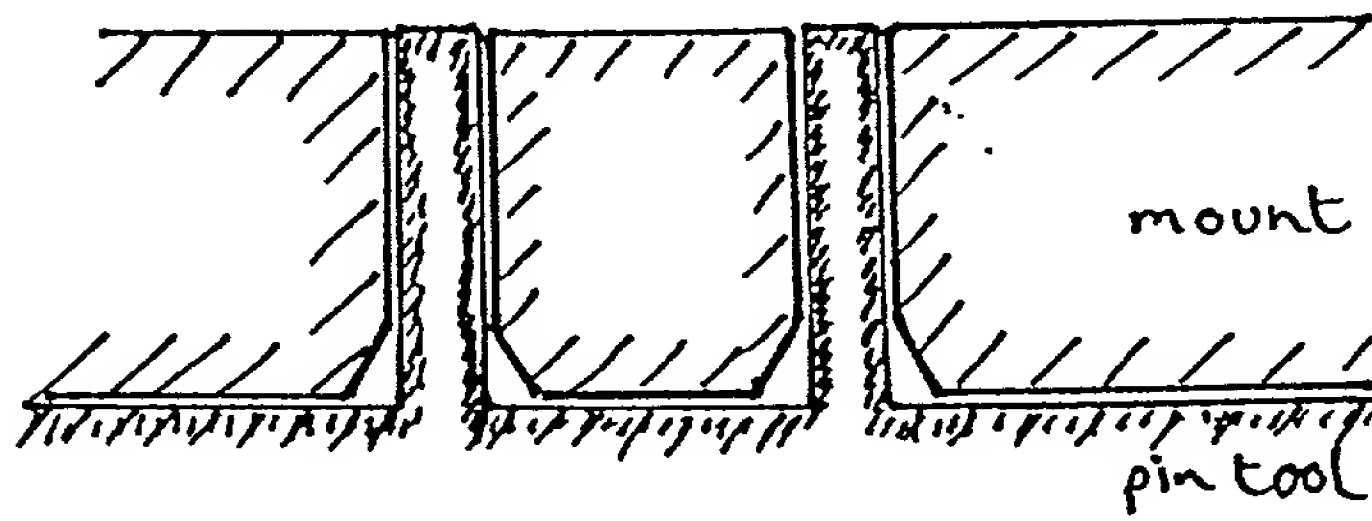
Mount

b.



Pin tool

c.

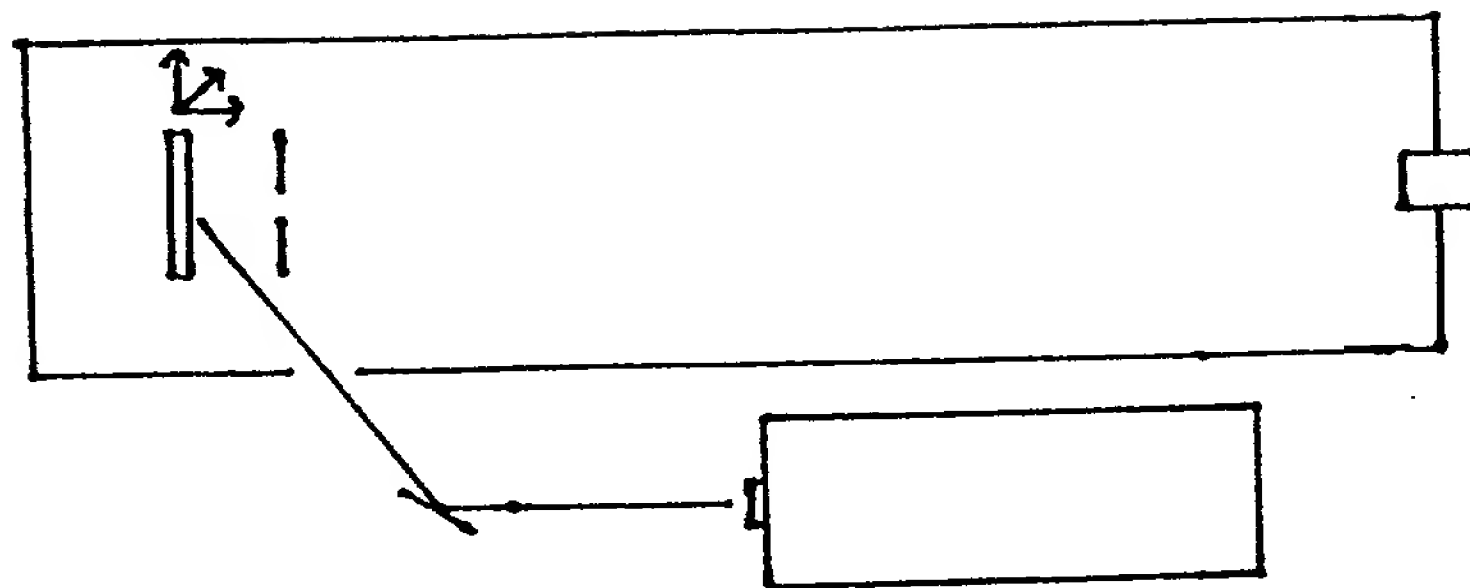


Cross section of mount
& pin tool
installed

Fig. 12

426T60" 464E680

Pin type a,b,c



Pin type d,e

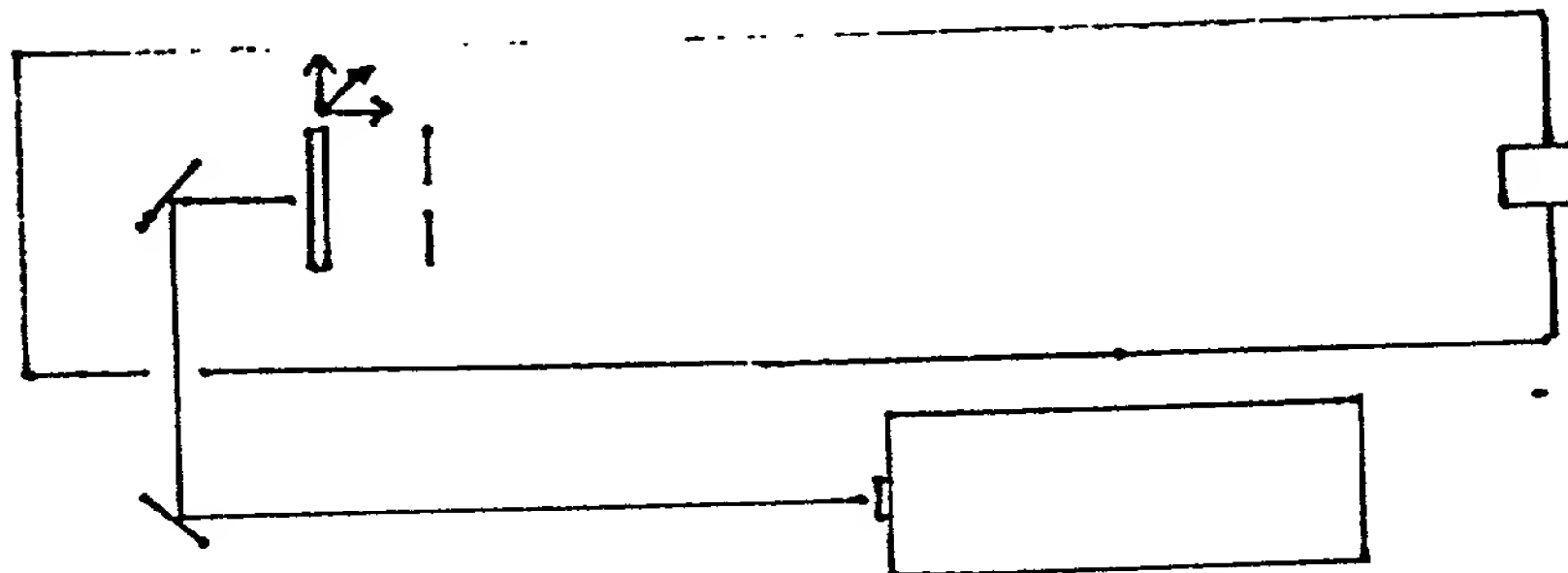


Fig. 13

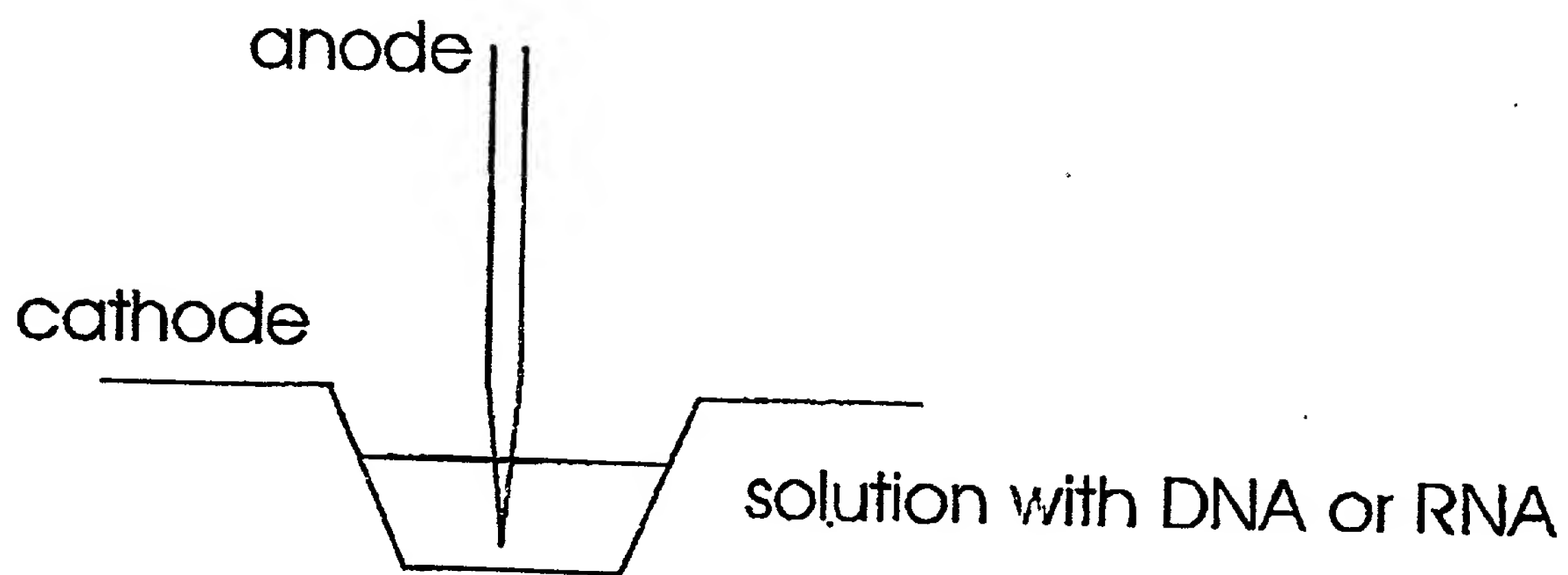


Fig. 14

Experimental flow diagram:

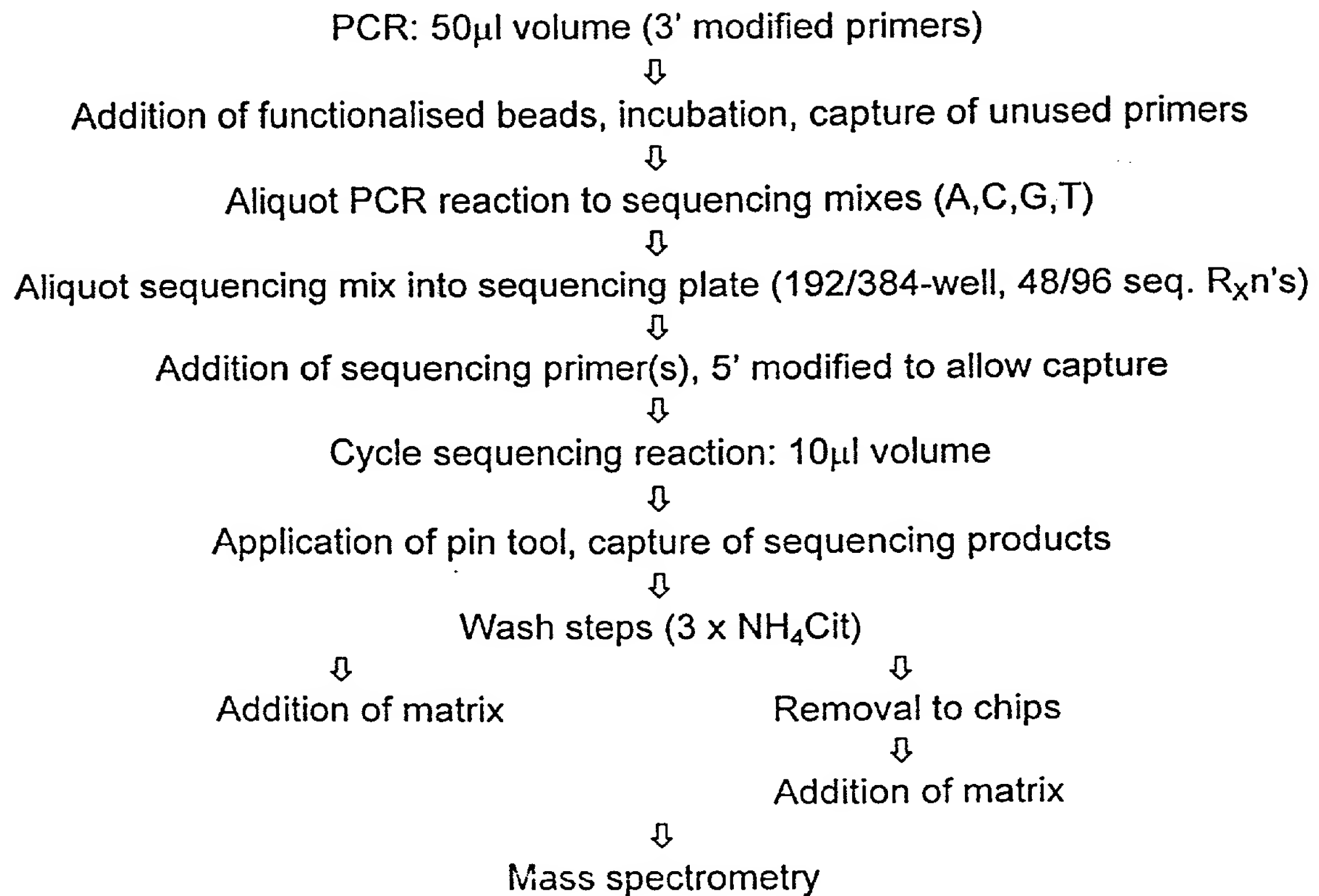


Fig. 15